

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

203927

2021 MAR 23 AM 9:30

A. NAME & PHONE OF CONTACT AT FILER [optional]
B. SEND ACKNOWLEDGMENT TO: (Name and Address)
UNI37 DRAWDOWN

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (1a or 1b) - do not abbreviate or combine names

1a. ORGANIZATION'S NAME				
OR				
1b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
DEAN		THOMPSON		
1c. MAILING ADDRESS		CITY	STATE	POSTAL CODE
550 PARK AVENUE, APT 10		NEW YORK	NY	10065
ADD'L INFO RE ORGANIZATION DEBTOR		1e. TYPE OF ORGANIZATION	1f. JURISDICTION OF ORGANIZATION	1g. ORGANIZATIONAL ID #, if any
		INDIVIDUAL	NEW YORK	<input checked="" type="checkbox"/> NONE

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (2a or 2b) - do not abbreviate or combine names

2a. ORGANIZATION'S NAME				
OR				
2b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
2c. MAILING ADDRESS		CITY	STATE	POSTAL CODE
ADD'L INFO RE ORGANIZATION DEBTOR		2e. TYPE OF ORGANIZATION	2f. JURISDICTION OF ORGANIZATION	2g. ORGANIZATIONAL ID #, if any
				<input type="checkbox"/> NONE

3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - insert only one secured party name (3a or 3b)

3a. ORGANIZATION'S NAME				
CHRISTIE'S INC.				
OR				
3b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
3c. MAILING ADDRESS		CITY	STATE	POSTAL CODE
20 ROCKEFELLER PLAZA		NEW YORK	NY	10020

4. This FINANCING STATEMENT covers the following collateral:

The property described in the schedule to the UCC1s attached and all proceeds from the sale thereof and any amount that compensates or indemnifies for the loss or damage to any such property.

5. ALTERNATIVE DESIGNATION [if applicable]	LESSEE/LESSOR	CONSIGNEE/CONSIGNOR	BAILEE/BAILOR	SELLER/BUYER	AG. LIEN	NON-UCC FILING
6. This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS. Attach Addendum [if applicable]	7. Check to REQUEST SEARCH REPORT(S) on Debtor(s) [optional]		All Debtors			
8. OPTIONAL FILER REFERENCE DATA		Debtor 1		Debtor 2		

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Schedule A to UCC-1	
Artist	Title
Leger, Fernand	La Gare
Warhol, Andy	Nine Marylins Reversal Series
Warhol, Andy	White on White Mona Lisas Reversal Series
Curran, John	Girl on a Hill
Prince, Richard	Lake Resort Nurse
Prince, Richard	Untitled (Cowboy)
Richter, Gerhard	Abstrakets Bild 769-4
Saville, Jenny	Fate
Tansey, Mark	Search for the Right Address (from the Nocturne Series)
Wool, Christopher	Hole
Wool, Christopher	Untitled (Oh, Oh)
Baselitz, Georg	Willem de K.
Bradley, Joe	Midnight Special
Gordon, Douglas	Self Portrait of You + Me (Jackies)
Prince, Richard	Untitled
Prince, Richard	Untitled (Kate #3)
Stingel, Rudolf	Untitled
Tansey, Mark	Study for Valley of Doubt
Warhol, Andy	Gun