

UCC FINANCING STATEMENT

203927

2021 MAR 23 AM 9:30

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]

B. SEND ACKNOWLEDGMENT TO: (Name and Address)

UNI37 DRAWDOWN

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (1a or 1b) - do not abbreviate or combine names

| | | | | |
|-----------------------------------|--|--------------------------|----------------------------------|---------------------------------|
| 1a. ORGANIZATION'S NAME | | | | |
| OR | | | | |
| 1b. INDIVIDUAL'S LAST NAME | | FIRST NAME | MIDDLE NAME | SUFFIX |
| DEAN | | THOMPSON | | |
| 1c. MAILING ADDRESS | | | | |
| 550 PARK AVENUE, APT 10 | | CITY | STATE | POSTAL CODE |
| | | NEW YORK | NY | 10065 |
| | | | | COUNTRY |
| | | | | USA |
| ADD'L INFO RE ORGANIZATION DEBTOR | | 1e. TYPE OF ORGANIZATION | 1f. JURISDICTION OF ORGANIZATION | 1g. ORGANIZATIONAL ID #, if any |
| | | INDIVIDUAL | NEW YORK | |

NONE

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (2a or 2b) - do not abbreviate or combine names

| | | | | |
|-----------------------------------|--|--------------------------|----------------------------------|---------------------------------|
| 2a. ORGANIZATION'S NAME | | | | |
| OR | | | | |
| 2b. INDIVIDUAL'S LAST NAME | | FIRST NAME | MIDDLE NAME | SUFFIX |
| | | | | |
| 2c. MAILING ADDRESS | | | | |
| | | CITY | STATE | POSTAL CODE |
| | | | | |
| | | | | COUNTRY |
| | | | | |
| ADD'L INFO RE ORGANIZATION DEBTOR | | 2e. TYPE OF ORGANIZATION | 2f. JURISDICTION OF ORGANIZATION | 2g. ORGANIZATIONAL ID #, if any |
| | | | | |

NONE

3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - insert only one secured party name (3a or 3b)

| | | | | |
|----------------------------|--|------------|-------------|-------------|
| 3a. ORGANIZATION'S NAME | | | | |
| CHRISTIE'S INC. | | | | |
| OR | | | | |
| 3b. INDIVIDUAL'S LAST NAME | | FIRST NAME | MIDDLE NAME | SUFFIX |
| | | | | |
| 3c. MAILING ADDRESS | | | | |
| 20 ROCKEFELLER PLAZA | | CITY | STATE | POSTAL CODE |
| | | NEW YORK | NY | 10020 |
| | | | | COUNTRY |
| | | | | USA |

4. This FINANCING STATEMENT covers the following collateral:

The property described in the schedule to the UCC1s attached and all proceeds from the sale thereof and any amount that compensates or indemnifies for the loss or damage to any such property.

| | | | | | | |
|---|---|--|---------------|--------------|----------|----------------|
| 5. ALTERNATIVE DESIGNATION [if applicable] | LESSEE/LESSOR | CONSIGNEE/CONSIGNOR | BAILEE/BAILOR | SELLER/BUYER | AG. LIEN | NON-UCC FILING |
| 6. This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS. Attach Addendum | 7. Check to REQUEST SEARCH REPORT(S) on Debtor(s) [if applicable] | 7. Check to REQUEST SEARCH REPORT(S) on Debtor(s) [optional] | All Debtors | Debtor 1 | Debtor 2 | |

8. OPTIONAL FILER REFERENCE DATA

THOMP22203

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| Schedule A to UCC-1 | |
|---------------------|---|
| Artist | Title |
| Leger, Fernand | La Gare |
| Warhol, Andy | Nine Marylins Reversal Series |
| Warhol, Andy | White on White Mona Lisas Reversal Series |
| Currin, John | Girl on a Hill |
| Prince, Richard | Lake Resort Nurse |
| Prince, Richard | Untitled (Cowboy) |
| Richter, Gerhard | Abstrakets Bild 769-4 |
| Saville, Jenny | Fate |
| Tansey, Mark | Search for the Right Address (from the Nocturne Series) |
| Wool, Christopher | Hole |
| Wool, Christopher | Untitled (Oh, Oh) |
| Baselitz, Georg | Willem de K. |
| Bradley, Joe | Midnight Special |
| Gordon, Douglas | Self Portrait of You + Me (Jackies) |
| Prince, Richard | Untitled |
| Prince, Richard | Untitled (Kate #3) |
| Stingel, Rudolf | Untitled |
| Tansey, Mark | Study for Valley of Doubt |
| Warhol, Andy | Gun |